

**Certificate for Module
(Family Office Management Strategy)**
Programme Code: FN077A

Application Code: 2075-FN077A

2867 8476

ecfomwi@hkuspace.hku.hk



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The programme aims to provide students with essential knowledge in family office management. It will discuss various issues regarding how to establish a family constitution; how to set up a family office in Hong Kong; inheritance, family and business knowledge; and how to handle stakeholders' disputes. The application of trusts in family offices and real-life cases will be discussed. After completing the programme, students can better understand the processes and contemporary issues related to family office management.

R Applicants shall hold a bachelor's degree awarded by a recognized institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

S HK\$15,000 per programme
Application Fee: HK\$150

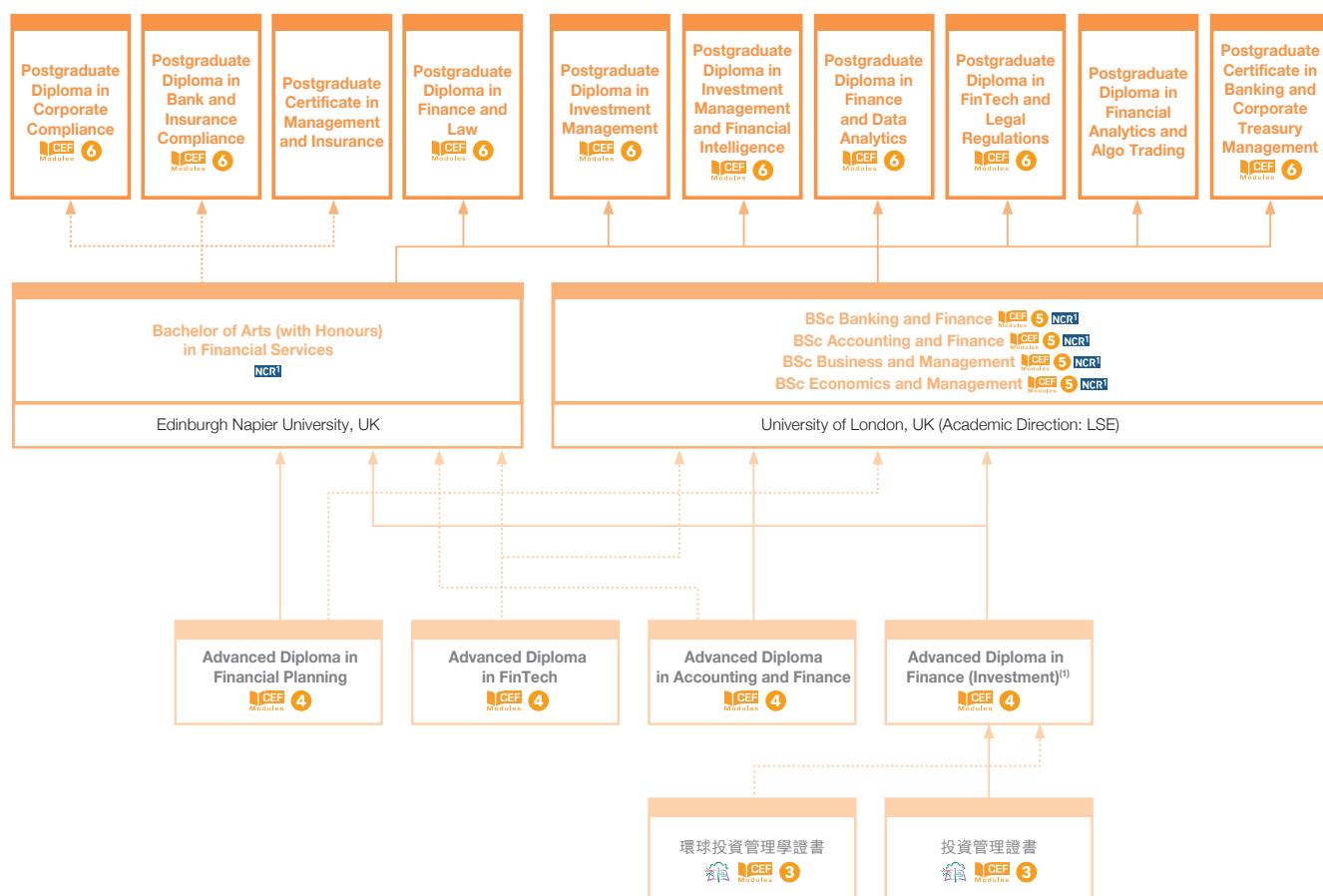
D 30 hours

English

Q Level 6 (Reg. No.: 22/000160/L6) Validity Period: 01 Mar 2022 - on-going

Finance and Compliance
金融法規

Pathways in Financial Studies



Notes:

(1) Eligible for entry into Year 3, Edinburgh Napier University Bachelor of Arts (with Honours) in Financial Services.

NCR¹

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR²

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR³

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 計程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Finance and Compliance

金融法規

University of London Business Programmes

These BSc degrees are awarded by the University of London and with academic direction from the globally renowned London School of Economics and Political Science (LSE). The degrees enable students of various backgrounds to earn a world-class degree without relocating. They offer students a thorough grounding in the principles of accounting, finance, business, economics and management. It is ideal if you are pursuing careers towards the profession of accountancy, financial, management or if you are already professionally qualified and now would like to take a degree.

For details, please refer to p.104-105

Programme Title	Programme Code	QF Level	QR Registration No.	QR Registration Validity Period
BSc Accounting and Finance	NCR ¹ CEF ² Modules ³ 5 MS044A	5	13/000446/L5	01 Sep 2013 - 31 Aug 2026
BSc Banking and Finance	NCR ¹ CEF ² Modules ³ 5 MS046A	5	13/000448/L5	01 Sep 2013 - 31 Aug 2026
BSc Business and Management	NCR ¹ CEF ² Modules ³ 5 MS041A	5	14/000003/L5	01 Sep 2014 - 31 Aug 2026
BSc Economics and Management	NCR ¹ CEF ² Modules ³ 5 MS025A	5	13/000312/L5	01 Sep 2012 - 31 Aug 2026

Postgraduate Diploma in Bank and Insurance Compliance

Programme Code: AC055A

Application Code: 2070-AC055A



2867 8409 / 2867 8314

pgdipbi@hkuspace.hku.hk

A unique programme that aims to provide students with a coherent, relevant and practical programme of knowledge in bank and insurance compliance and prepares them for a career in these and related sectors. Real-life cases and examples will be discussed, drawing reference from standards and rules highlighting the non-compliance issues involved and grey areas.

R Applicants shall hold a bachelor's degree awarded by a recognized institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Notes to applicants:

1. Applicants with relevant prior studies can apply for exemption, please complete the exemption form and furnish course outline.

\$ HK\$7,300 per module
Application Fee: HK\$200

D 1 year to 3 years

English

Q Level 6 (Reg. No.: 16/000338/L6) Validity Period: 17 May 2016 - on-going

[More details](#)



Postgraduate Diploma in Corporate Compliance

Programme Code: AC009A

Application Code: 2070-AC009A



2867 8409 / 2867 8314

pgdcc@hkuspace.hku.hk

RELEVANT & PRACTICAL PROGRAMME IN SFC AND LISTING COMPLIANCE!! This is a unique programme that focuses on practical applications and all-round training in corporate compliance; it is positioned to be the pioneer in the market. Contemporary cases will be discussed; drawing reference from standards and rules highlighting the issues and grey areas. Real-life examples and updated cases will be discussed during the class.

R Applicants shall hold a bachelor's degree awarded by a recognized institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

NOTES TO APPLICANTS:

1. Applicants with relevant prior studies can apply for exemption, please complete the exemption form and furnish course outline.

\$ HK\$7,300 per module
Application Fee: HK\$200

D 1 year to 3 years

English

Q Level 6 (Reg. No.: 14/003330/L6) Validity Period: 01 Oct 2014 - on-going

Postgraduate Diploma in Finance and Law

Programme Code: FN017A

Application Code: 2085-FN017A



2867 8312

pgdfi@hkuspace.hku.hk

Postgraduate Diploma in Finance and Law is designed to give you a sophisticated understanding of the legal and regulatory framework for the local and international financial sector, by taking an integrated approach to finance and law. Financial institutions need leaders who have extensive knowledge relating to the legal issues in international finance and banking.

This PGDFL creates a new kind of financial services professional: graduates who are trained in both finance and law. You will develop an advanced interdisciplinary understanding of relevant legal and financial contexts and combines a highly analytic academic core with applications informed by current practice.

R Applicants shall hold a bachelor's degree awarded by a recognized institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- iii. HKALE Use of English at Grade E or above; or
- iv. HKDSE Examination English Language at Level 3 or above; or
- v. equivalent qualifications.

Applicants who hold the Advanced Diploma for Legal Executives (Graduate Level) would be eligible for entry.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$7,100 per Finance module
HK\$10,500 per Law module
Application Fee: HK\$200

D 12 months to 48 months

English

Q Level 6 (Reg. No.: 08/001818/6) Validity Period: 05 May 2008 - on-going

See legend on page 029 圖像說明於第029頁

R Minimum Entry Requirements 基本入學要求(P.015)

\$ Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website

有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

Bachelor of Arts (with Honours) in Financial Services

Programme Code: FN015A

Edinburgh Napier University, UK
Application Code: 2065-FN015A



Edinburgh Napier University, UK

- Awarded 5 Stars for Teaching, Employability and Internationalisation, 2021 QS Stars Ratings
- Raised 38 places in the Good University Guide 2021

PROGRAMME FEATURES

- Top in the UK for Value Added to students by Guardian University Guide 2017
- Take Current Insights from Thematic Seminars in Finance
- Face-to-face Lecture 42 hours per Module
- Honours Top-up Degree in 2 years Part-time
- Exemption of Associate Financial Planner Certification Education Programme by Institute of Financial Planners of HK
- Cover part of Chartered Financial Analyst (CFA) qualification's required body of knowledge
- More than 2,000 Graduates in HK

R MINIMUM ENTRY REQUIREMENTS

Applicants shall:

- have an Associate Degree or Higher Diploma or Advanced Diploma in a business related discipline awarded within the HKU system through HKU SPACE; or
- have an equivalent award granted by a recognised institution. Holders of Associate Degrees, Higher Diplomas or Advanced Diplomas in a relevant discipline will be considered on a case-by-case basis; or
- have a degree in other disciplines. Degree holders in non-business disciplines will be considered based on individual merit; or
- hold equivalent qualifications.

Advanced entry with further exemption from a maximum of 40 credits (2 modules) in Stage 3 or 4 may be considered on an exceptional basis for holders of appropriate entry qualification higher than those mentioned in points (a) to (d) above, but it is emphasized that, in such exceptional cases, credits already counted for meeting the above will not be counted again for further exemption.

Note:

An Honours degree programme at Edinburgh Napier University normally takes four years to complete. Students normally complete their Stages 3 and 4 modules during their third and fourth years of study respectively.

S HK\$13,500 per module
Application Fee: HK\$200

D 2 years

English

Advanced Diploma in Finance (Investment)

Programme Code: FN013A

Application Code: 2085-FN013A



2867-8312
 adfi@hkuspace.hku.hk

The ongoing turmoil in global financial markets continues to strengthen the need for finance professionals. This programme offers in-depth training in analytical aspects used by financial analysts in different settings including finance department within corporations and financial services institutions. These courses teach the strategies and principles of investment, financial risk management, derivative instruments and alternative investments. Graduates can be admitted directly into year 3 of Edinburgh Napier University's BA (Hons) Financial Services and complete this part-time degree programme in 2 years.

R Applicant shall:

- (i) have gained in HKCEE Grade E in 4 subjects (including Mathematics) and Level 2 in English Language and (ii) one A-level pass or two AS-level passes in any subject or other equivalent post-secondary qualifications;
- have gained in the HKDSE Examination Level 2 in five subjects including English Language; or
- hold an Advanced Certificate in Financial Studies or a Certificate in Investment award within the HKU system through HKU SPACE; or
- be aged 21 and above.

S HK\$4,600 per module
Application Fee: HK\$150

D 24 months to 36 months

English

Q Level 4 (Reg. No.: 11/000176/4) Validity Period: 13 Jan 2011 - on-going

Advanced Diploma in FinTech

Programme Code: FN049A

Application Code: 2085-FN049A



2867 8312

adft@hkuspace.hku.hk

The programme aims to provide fundamental knowledge on finance applications of blockchain technology, digital currencies, big data and financial analytics. It covers digital banking, payment systems, blockchain, cryptocurrencies, peer to peer lending, crowdfunding, quantitative methods in financial analysis and big data analytics in finance. The programme develops students with programming skills in Python and put them into practice to solve real world finance problems.

R Applicants shall have gained in the HKDSE Examination Level 2 in 5 subjects including English Language and Chinese Language or equivalent.

Applicants who do not possess the above academic qualifications but are aged 21 or above with relevant work experience will be considered on individual merit.

S Module 1: Introduction to FinTech HK\$6,300
Module 2: Principles of Finance HK\$4,500
Module 3: Programming for FinTech Applications HK\$6,300
Module 4: Quantitative Methods for Finance HK\$4,500
Module 5: Blockchain Applications and FinTech HK\$4,500
Module 6: Big Data Applications and Financial Analytics HK\$4,500
Application Fee: HK\$200

D 1 year

English

Q Level 4 (Reg. No.: 20/000091/L4) Validity Period: 01 Mar 2020 - on-going



Executive Diploma in Anti-Money Laundering and Counter-Terrorist Financing

Programme Code: EP087A

Application Code: 2085-EP087A



2867 8312

ecaml@hkuspace.hku.hk

Through case work, in-class discussions and expert guidance from our experienced speakers, you will learn how to assess the key elements of AML compliance programme.

First-hand study, experience-sharing and real-world cases by our AML speakers provides practical knowledge and skills in an attempt to prepare you to pursue a career in financial compliance.

R Applicants shall:

- hold a bachelor's degree awarded by a recognized institution or equivalent;
- hold an Associate Degree / a Higher Diploma awarded by a recognized institution or equivalent and have 2 years work experience; or
- hold relevant professional qualifications.

Applicants with other qualifications will be considered on individual merits.

S Module 1 (30 hrs) HK\$5,200
Regulatory Principles of Anti-Money Laundering and Counter-Terrorist Financing
Module 2 (30 hrs) HK\$5,200
Anti-Money Laundering Compliance Programme
Module 3 (15 hrs) HK\$2,700
Financial Crime Compliance
Module 4 (15 hrs) HK\$2,700
Anti-Money Laundering in Practice
Application Fee: HK\$150 (non-refundable)

D 5 months

English

Executive Certificate in Aircraft Leasing and Financing

Programme Code: EP166A

Application Code: 2160-EP166A



2975 5767

ecalf@hkuspace.hku.hk

This programme offers the essential concepts and contemporary issue about aircraft leasing and financing. It provides a broad overview of main categories of different aircraft leasing transactions.

R Applicants shall hold:

- a Bachelor's Degree awarded by a recognized University or equivalent; or
- an Associate Degree or a Higher Diploma or equivalent, and have at least 2 years of relevant work experience.

Applicants with other qualifications and substantial senior work experience will be considered on individual merit.

S HK\$7,200
Application Fee: HK\$150

D 1 month to 2 months

English

NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

NCR3

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

Finance and Compliance

金融法規

Executive Certificate in Finance and Compliance

Programme Code: EP025A

Application Code: 2065-EP025A

2867 8392

ec.fc@hkuspace.hku.hk



The demand for financial compliance professionals grows with new compliance and regulation standards in Hong Kong. This programme aims to equip students with latest knowledge in financial compliance in banking and asset management. Executive Certificate in Finance and Compliance is regularly updated for the current changing regulatory landscape.

R Applicants shall:

- (a) hold a bachelor's degree awarded by a recognized university or equivalent; or
- (b) hold an Associate Degree/ a Higher Diploma or equivalent, and have at least 2 years of work experience; or
- (c) hold relevant professional qualifications.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

S HK\$2,800 per module

HK\$8,400 per programme

Application Fee: HK\$150

D 4 months

English, supplemented with Cantonese



More details

Finance and Compliance Workshop Series

Programme Code: SC407A

2867 8392

ec.fc@hkuspace.hku.hk



This workshop aims to equip students with latest knowledge in compliance issues for professionals working in asset management companies and banking institutions. It focuses on satisfying the regulatory and compliance requirements as determined by the legal framework in Hong Kong as well as teaching state-of-the-art practices in managing key risks faced by bank and asset management companies.

S HK\$2,900 per module

HK\$8,700 per programme

D 4 months

English, supplemented with Cantonese

Executive Certificate in Financial Law and Ethics

Programme Code: EP178A

Application Code: 2075-EP178A

2867 8322

ecfle@hkuspace.hku.hk



The programme takes an integrated approach to financial law and ethics in business and finance. It considers how legal principles and ethical considerations are applied in the context of real case studies. The programme offers an overview of financial law and ethics in financial market transactions in capital markets and asset management. It also analyses the efficacy of the organizational requirements which companies are obliged to have in place to prevent unethical conduct from happening.

R Applicants shall hold:

- a bachelor's degree awarded by a recognized University or equivalent; or
- an Associate Degree/ a Higher Diploma or equivalent, and have at least 2 years of relevant work experience.

Applicants with other qualifications will be considered on individual merits.

S Module 1: Financial Law and Regulations HK\$3,750

Module 2: Ethics and Professional Practice in Finance HK\$3,750

Application Fee: HK\$150

D 3 months

English

Executive Certificate in Fund Administration and Regulatory Compliance

Programme Code: EP175A

Application Code: 2070-EP175A

2867 8312

farc@hkuspace.hku.hk



The programme takes an integrated approach to fund administration and the regulatory landscape in asset management. The programme offers an overview of investment fund structures, strategies, regulation and fund administration from front-end to back office operations. It also covers the current development of fund regulatory compliance in the Greater Bay Area.

R Applicants shall hold:

- a bachelor's degree awarded by a recognized University or equivalent; or
- an Associate Degree/ a Higher Diploma or equivalent, and have at least 2 years of relevant work experience.

Applicants with other qualifications will be considered on individual merits.

S HK\$7,800 per programme

Application Fee: HK\$150

D 30 hours

English

Certificate for Module (Anti-money Laundering – Cryptocurrency, Data Analytics and Financial Crime Sanctions)

Programme Code: AC119A

Application Code: 2085-AC119A

2867 8409

pgdcc@hkuspace.hku.hk



Blockchain originated just over 10 years ago. Since then, virtual assets have become widely available and have started to be used as payment products. However, without established regulation and oversight, the sector is often still referred to as the "wild west" of the finance industry.

Virtual assets have many potential benefits. They could make payments easier, faster, and cheaper; and provide alternative methods for those without access to regular financial products. But without proper regulation, they risk becoming a virtual safe haven for the financial transactions of criminals and terrorists. These gaps in the global regulatory system have created significant loopholes for criminals and terrorists to abuse. The technology behind virtual assets is fast-moving. Future developments should not create loopholes that terrorists and criminals can exploit.

In 2021, cryptocurrency crimes and the scale of losses in Hong Kong soared to record levels in the first six months of this year, with one victim conned out of HK\$124 million (US\$15.9 million).

R Applicants shall hold a bachelor's degree awarded by a recognized institution, with 1-2 years of relevant work experience in anti-money laundering or have studied AML-related course.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- iii. HKALE Use of English at Grade E or above; or
- iv. HKDSE Examination English Language at Level 3 or above; or
- v. equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

S HK\$8,000 per programme

Application Fee: HK\$150

D 33 hours

English

Certificate for Module (Big Data Governance and Data Compliance)

Programme Code: FN055A

2867 8331

finedec@hkuspace.hku.hk



For details, please refer to p.062

See legend on page 029 圖像說明於第029頁

R Minimum Entry Requirements 基本入學要求(P.015)

S Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website

有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

**Certificate for Module
(Compliance for Fintech and Insurtech)**
Programme Code: AC106A

Application Code: 2150-AC106A

2867 8409 / 2867 8314

pgdipbi@hkuspace.hku.hk



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Fintech and Insurtech are new and hot technology in bank and insurance sectors. Programme Team proposes to introduce a Certificate for module 'Compliance for Fintech and Insurtech' which is an evolving area with market demand and is also a unique and pioneering course introduced by HKU SPACE.

R Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above;
- HKDSE Examination English Language at Level 3 or above;
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$8,000 per programme
Application Fee: HK\$150

D 36 hours

English

Q Level 6 (Reg. No.: 21/000476/L6) Validity Period: 01 Jul 2021 - on-going

**Certificate for Module
(Enhanced Competency Framework on
Anti-Money Laundering and Counter-Financing
of Terrorism Professional Level)**
Programme Code: FN045B

Application Code: 2070-FN045B

2867 8392

ecfaml@hkuspace.hku.hk

Hong Kong Monetary Authority (HKMA) works together with the banking industry to introduce an industry-wide competency framework – Enhanced Competency Framework (ECF) on Anti-money Laundering and Counter-financing of Terrorism (AML/CFT) to support talent development in AML/CFT. The syllabus will be provided by the Hong Kong Institute of Bankers (HKIB) and this programme is offered for students to prepare for the ECF on AML/CFT Professional Level Examination.

R Applicants shall fulfill the following entry requirement:

- Advanced Certificate for ECF on Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) awarded or grandfathered by The Hong Kong Institute of Bankers (HKIB)

\$ HK\$4,800

HK\$500 (HKIB Study Guide - Students are required to complete pre-course reading materials listed in the HKIB Study Guide before training sessions.)

D 18 hours

English

Q Level 5 (Reg. No.: 18/000292/L5) Validity Period: 24 May 2018 - on-going

證書 (單元 : 財富管理誠信顧問)

課程編號 : FN060A

報名代碼 : 2070-FN060A

2975 5767

tawm@hkuspace.hku.hk



3

本課程旨在加深人壽保險業界從業員對保險業界不同領域的認識，以提高其個人服務水平及誠信標準。完成課程後，學員能夠因應不同客戶的需要，制訂製合適的保險及理財工具組合，為客戶提供詳盡有效和切實可行的財務方案。課程內容包括保險業最新監管要求、信託概念和知識、不同地區的稅務事項、財富傳承的策略與執行步驟、高端客戶的財富管理及財富心理學的應用。

\$ HK\$9,800
報名費用 : HK\$150

D 1個月零1個星期

Q 資歷架構級別 : 3 資歷名冊登記號碼 : 21/000389/L3
資歷名冊登記有效期 : 2021年6月1日 - 持續有效

Certificate for Module (RegTech)

Application Code: 2080-FN088A

2975 5767

cmrt@hkuspace.hku.hk

The programme aims to equip students with the latest knowledge in innovation and applications of regulatory technology (RegTech). Students will learn how RegTech transforms the financial industry and presents business challenges to the industry. The programme will cover contemporary financial issues, regulatory framework and the impacts of RegTech.

R Applicants shall:

- (i) hold a relevant bachelor's degree awarded by a recognized university or equivalent; or
- (ii) hold a relevant Associate Degree / Higher Diploma or equivalent, and have at least 2 years of related work experience.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$9,000

Application Fee: HK\$150

D 30 hours

English

Bridging Course for the Enhanced Competency Framework on Anti-Money Laundering and Counter-Financing of Terrorism Professional Level
Programme Code: FINA9321

Application Code: 2065-SC490A

2867 8392

ecfaml@hkuspace.hku.hk

The programme aims to serve as a bridging course for relevant banking practitioners who would be exempted from the ECF on AML/ CFT of the HKMA with their professional qualifications at relevant professional bodies.

Learners would be equipped with the analytical skills in Hong Kong regulatory framework, application of the risk-based approach, AML/CFT risks in specialist areas and financial inclusion in the banking context.

R Applicants shall hold the Certified Anti-Money Laundering Specialist certification or the International Diploma in AML awarded by the Association of Certified Anti-money Laundering Specialists and the International Compliance Association respectively.

[More details](#)

\$ HK\$1,700

HK\$500 (Study Guides Fee (optional) Students can purchase a set of ECF on AML/CFT (Core & Professional Levels) study guides at the HKIB office or by courier service.)

D 6 hours

English



**Examination Preparatory Programme for ACAMS
Certified Anti-Money Laundering Specialist (CAMS)**

Application Code: 2075-FINA9357

2975 5767

acams@hkuspace.hku.hk

This course is collaborated with Association of Certified Anti-Money Laundering Specialists (ACAMS). The programme structure follows the exam syllabus plus practical real cases sharing from the lecturers. The programme consists of one module and the syllabus is as follows. It comprises 12 contact hours with lectures.

1. Risks and Methods of Money Laundering and Terrorism Financing
2. Compliance Standards for Anti-Money Laundering (AML) and Combating the Financial of Terrorism (CFT)
3. AML, CFT and Sanctions compliance programs
4. Conducting and Supporting the Investigation Process

\$ HK\$3,900

D 12 hours

English

NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

NCR3

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

Finance and Compliance

金融法規

持牌保險中介人打擊洗錢及恐怖分子資金 籌集合規持續專業發展課程

課程編號：FINA9356



報名代碼：2050-3004NW



2867 8487 / 2867 8314

shortcourse@hkuspace.hku.hk

本課程會將介紹打擊清洗黑錢及反恐怖份子融資活動的相關法例及保險業規例的基本原則；重點是香港的洗錢及恐怖分子融資風險評估報告，並設有實際經驗分享、案例研究，及如何應用相關的案例和法律法規。

\$ HK\$450

D 3小時

Financial Services and Insurance 金融服務和保險

Advanced Diploma in Financial Planning

Programme Code: FN005A



Application Code: 2065-FN005A

2867 8468

cfp@hkuspace.hku.hk

This programme prepares students for the CFP® Certification Examination (Foundation Level) and CFP® Certification Examination (Advanced Level Paper 1 and Advanced Level Paper 2) organized by the Institute of Financial Planners of Hong Kong. In addition, the programme also enables students to enter Year 3 of Edinburgh Napier University's Bachelor of Arts (with Honours) in Financial Services degree programme. Besides, degree holders can further their studies in finance postgraduate programmes (e.g., Postgraduate Diploma in Investment Management, Postgraduate Diploma in Investment Management and Financial Intelligence, Postgraduate Diploma in Applied Financial Engineering, Postgraduate Diploma in Finance and Data Analytics, and Postgraduate Diploma in FinTech and Legal Regulations).

R Applicants should

- have gained in the HKCCE Grade E in 3 subjects and Level 2 in Chinese Language and English Language; OR
- have gained in the HKDSE Level 2 in 5 subjects;
- be aged 21 years of age or above and have 3 years of work experience.
- IFPHK candidacy is a pre-requisite for the Advanced Diploma in Financial Planning Programme

\$ Business modules HK\$3,800 - HK\$5,800

AFP module: HK\$15,800

CFP level 1 & 2 modules: HK\$5,350 each module

Application Fee: HK\$150

More details



D 1 year to 2 years

English

Q Level 4 (Reg. No.: 14/003649/L4) Validity Period: 01 Jan 2015 - on-going



Certificate for Module (Insurance Contract and Compliance)

Programme Code: AC107A

2867 8474 / 2867 8314

pgdipmi@hkuspace.hku.hk



The programme aims to equip students with professional knowledge and skills to manage insurance contracts and assess possible risks and threats under an increasingly stringent regulatory environment in the insurance industry.

\$ HK\$8,000 per programme

Application Fee: HK\$150

D 33 hours

Q Level 6 (Reg. No.: 21/001079/L6) Validity Period: 01 Oct 2021 - on-going



AFP Certification Refresher Course

Programme Code: FINA9293



Application Code: 2090-1229NW

2867 8468

cfp@hkuspace.hku.hk

This 10-hours' short course will help the students go through different type of questions step-by-step and the relevant tricks required to tackle these questions. Students are assumed to have the knowledge required for the AFP syllabus.

\$ HK\$3,000

D 10 hours

English



Executive Workshop The Art of Winning

Requests for Proposals (RFP) in Financial Services

Programme Code: FINA9364



Application Code: 2070-3369NW



Competition of service providers in financial services has never been more brutal. Executives of financial service providers feel increasingly commoditised as clients view themselves and their competitors as much the same. Their win rate in bidding request for proposals (RFP) may be on the decrease. One of the best ways to win RFP is to make sure they understand how and why their clients determine one competitor over another and how outstanding providers align and win the RFP without competing on fees only. How to accelerate the message of service providers with difference, preference and proof is important in winning RFP in financial services.

\$ HK\$4,500

D 1 month

English



Chartered Financial Analyst Level 1 Education Programme

Programme Code: FINA9017



Application Code: 2060-1534NW

2867 8468

cfa@hkuspace.hku.hk

HKU SPACE is pleased to offer the CFA® Level 1 Education Programme to help candidates prepare for the forthcoming examination.

\$ HK\$12,300

(including a comprehensive set of notes and study materials which are non-refundable)

D 2 months to 4 months

English



See legend on page 029 圖像說明於第029頁

R Minimum Entry Requirements 基本入學要求(P.015)

S Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk